

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1	("7062458").PN.	US-PGPUB; USPAT	OR	OFF	2007/02/26 09:09
L4	1	10/070344	US-PGPUB; USPAT	OR	ON	2007/02/26 11:42
L5	14	((increas\$3 or decreas\$3) near (risk)) same ((cash and security))	US-PGPUB; USPAT	OR	ON	2007/02/26 11:45
L6	37	((increas\$3 or decreas\$3) near (risk)) same (((funds or cash) and security))	US-PGPUB; USPAT	OR	ON	2007/02/26 11:45
L7	9	(US-20040024695-\$ or US-20020147671-\$ or US-20020038271-\$ or US-20030208427-\$).did. or (US-6275814-\$ or US-7143061-\$ or US-6321212-\$ or US-7062458-\$ or US-6601044-\$).did.	US-PGPUB; USPAT	OR	ON	2007/02/26 11:49
L8	8	7 and (cash same risk)	US-PGPUB; USPAT	OR	ON	2007/02/26 11:49
L9	6996	(705/35-38).CCLS.	US-PGPUB; USPAT	OR	OFF	2007/02/26 12:19
L10	461	9 and ((risk) near (tolerance or limit or threshold or range or target))	US-PGPUB; USPAT	OR	ON	2007/02/26 12:20
L11	289	10 and ((balance or re\$balance))	US-PGPUB; USPAT	OR	ON	2007/02/26 12:20
S1	4554	(risk) same (index)	US-PGPUB; USPAT	OR	ON	2007/02/06 09:39
S2	0	"10620444"	US-PGPUB; USPAT	OR	ON	2007/02/06 09:39
S3	1	"10/620444"	US-PGPUB; USPAT	OR	ON	2007/02/06 09:39
S4	1	("2004/0024695").URPN.	USPAT	OR	ON	2007/02/06 09:39
S5	18	("20020038273"   "20020077946"   "20030004846"   "20030115128"   "20040024695"   "5126936"   "5262942"   "5761442"   "5799287"   "5812987"   "5812988"   "5819238"   "6029148"   "6175824"   "6275814"   "6278983"   "6292787"   "6832209").PN. OR ("7143061").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 10:58
S6	12	S1 and S5	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:40

## EAST Search History

S7	11	S6 and ((maintain\$4 or level or target or specif\$4) near (risk))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:43
S8	11	S7 and ((risk) same (level or threshold or range))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:43
S9	9	S7 and ((risk) same (level or threshold or range) same (above or below))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:46
S10	25	("4346442"   "4742457"   "4823265"   "5774881"   "5784696"   "5799287"   "5806047"   "5806048"   "5806049"   "5812988"   "5819238"   "5946667"   "5999918"   "6003018"   "6021397").PN. OR ("6275814").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:45
S11	11	S10 and ((risk) same (level or threshold or range) same (above or below))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:47
S12	18	((risk) same (level or threshold or range) same (inex))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:48
S13	1545	((risk) same (level or threshold or range) same (index))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:48
S14	307	S13 and ((rebalanc\$4 or reallocat\$4 or balanc\$4 or allocat\$4) same (risk))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 10:10
S15	120	S14 and ((maintain\$4 or constant or traget or desired or specif\$4 or level or range) near (risk))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:49
S16	111	S15 and ((upper or lower or above or below) same (risk))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:49
S17	545	S16 and (risk).ab.ti.	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:50
S18	68	S16 and (risk.ab.ti.)	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 09:58
S19	3	S18 and riskmetric	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 10:01
S20	43	S16 and ((constant or specifi\$4 or target) near (risk))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 10:01
S21	6	S20 and (risk near tolerance)	US-PGPUB; USPAT	OR	ON	2007/02/06 10:08

## EAST Search History

S22	48990	risk same (above or below or high or higher or low or lower or maximum or minimum) same (level or threshold or range or tolerance)	US-PGPUB; USPAT	OR	ON	2007/02/06 10:45
S23	1145	S22 and ((monitor\$4 or watch\$4 or evaluat\$4) near (risk))	US-PGPUB; USPAT	OR	ON	2007/02/06 10:09
S24	42	S23 and ((rebalanc\$4 or reallocat\$4 or balanc\$4 or allocat\$4) near (risk))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 10:10
S25	269	S23 and ((rebalanc\$4 or reallocat\$4 or balanc\$4 or allocat\$4) same (risk))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 10:11
S26	52	S25 and (maintain\$4 near7 risk)	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 10:11
S27	5	(US-20040024695-\$ or US-20020147671-\$ or US-20020038271-\$).did. or (US-6275814-\$ or US-6321212-\$). did.	US-PGPUB; USPAT	OR	ON	2007/02/06 10:15
S28	4	S27 and security	US-PGPUB; USPAT	OR	ON	2007/02/06 10:15
S29	0	S25 and riskmetirc	US-PGPUB; USPAT	OR	ON	2007/02/06 10:15
S30	0	S25 and riskmetircs	US-PGPUB; USPAT	OR	ON	2007/02/06 10:16
S31	2	S25 and riskmetric	US-PGPUB; USPAT	OR	ON	2007/02/06 10:16
S32	2	S25 and riskmetrics	US-PGPUB; USPAT	OR	ON	2007/02/06 10:43
S33	4978	risk same ((value near risk) or VAR or deviation or variance)	US-PGPUB; USPAT	OR	ON	2007/02/06 10:44
S34	201	S33 and ((maintain\$4 near5 risk))	US-PGPUB; USPAT	OR	ON	2007/02/06 10:44
S35	146	S22 and S34	US-PGPUB; USPAT	OR	ON	2007/02/06 10:45
S36	10	S5 and (risk.ab.ti.)	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:06
S37	477	(financial near algorithm).ab.ti.	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:08
S38	0	S37 and ((creat\$4 or defin\$4) near (algorithm))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:12

## EAST Search History

S39	0	S37 and ((File near structure))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:08
S40	0	S37 and akers.in.	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:08
S41	200	(financial or trad\$4) near (algorithm)	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:13
S42	0	S41 and akers.in.	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:09
S43	73	S41 and encod\$3	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:11
S44	0	S37 and S43	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:11
S45	6	S43 and ((creat\$4 or defin\$4) near (algorithm))	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:12
S46	482	((financial or trad\$4) near (algorithm)).ab.ti.	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:13
S47	482	((((financial or trad\$4) near (algorithm)).ab.ti.)	US-PGPUB; USPAT; USOCR	OR	ON	2007/02/06 13:14
S48	2	((((financial or trad\$4) near (algorithm)).ab.ti.)	USPAT	OR	ON	2007/02/06 13:14
S49	18	((((financial or trad\$4) near (algorithm)).ab.ti.)	US-PGPUB; USPAT	OR	ON	2007/02/06 13:39
S50	4901	(risk same project)ab.ti.	US-PGPUB; USPAT	OR	ON	2007/02/06 13:39
S51	927	(risk near project)ab.ti.	US-PGPUB; USPAT	OR	ON	2007/02/06 13:40
S52	749	((risk near project) and (asset))ab. ti.	US-PGPUB; USPAT	OR	ON	2007/02/06 13:41
S53	10	S52 and (risk same threat)	US-PGPUB; USPAT	OR	ON	2007/02/06 13:42
S54	906	modulation near temperature	US-PGPUB; USPAT	OR	ON	2007/02/06 13:43
S55	257	S54 and gradient	US-PGPUB; USPAT	OR	ON	2007/02/06 13:43
S56	59	(modulation near temperature).ab. ti.	US-PGPUB; USPAT	OR	ON	2007/02/06 13:46

## EAST Search History

S57	17	S55 and S56	US-PGPUB; USPAT	OR	ON	2007/02/06 13:45
S58	0	S57 and (transit\$4 near optical)	US-PGPUB; USPAT	OR	ON	2007/02/06 13:45
S59	0	S55 and (transit\$4 near optical)	US-PGPUB; USPAT	OR	ON	2007/02/06 13:45
S60	1	S54 and (transit\$4 near optical)	US-PGPUB; USPAT	OR	ON	2007/02/06 13:45
S61	0	S60 and driver	US-PGPUB; USPAT	OR	ON	2007/02/06 13:46
S62	37	driver near (optical near transmitter)	US-PGPUB; USPAT	OR	ON	2007/02/06 13:46
S63	6	S62 and (modulation and temperature)	US-PGPUB; USPAT	OR	ON	2007/02/06 13:47
S64	0	S63 and gradient	US-PGPUB; USPAT	OR	ON	2007/02/06 13:47